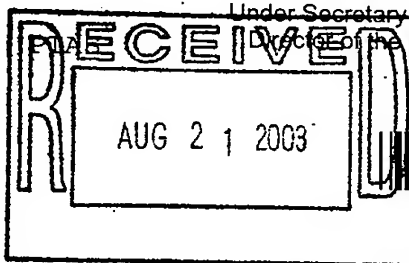




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0011

AUGUST 15, 2003

JOHN M. LUCAS  
29 HARTWELL AVENUE  
LEXINGTON, MA 02421



Under Secretary of Commerce For Intellectual Property and  
Director of the United States Patent and Trademark Office  
Washington, DC 20231  
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\*102400930A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/24/2003

REEL/FRAME: 013874/0898  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
TANG, HUA

DOC DATE: 02/13/2003

ASSIGNOR:  
CHEN, HONGMING

DOC DATE: 01/09/2003

ASSIGNOR:  
ALMARSSON, ORN

DOC DATE: 01/14/2003

ASSIGNEE:  
TRANSFORM PHARMACEUTICALS, INC.  
29 HARTWELL AVENUE  
LEXINGTON, MASSACHUSETTS 02421

SERIAL NUMBER: 60422196  
PATENT NUMBER:

FILING DATE: 10/29/2002  
ISSUE DATE:

DOCKETED  
Action No duty. void

Date 8/21/03 By JM/V

013874/0898 PAGE 2

TONYA LEE, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

03-26-2003

Form PTO-1595

(Rev. 10/02)

OMB No. 0651-0027 (exp. 6/30/2005)

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U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

3-24-03  
Hua Tang  
Hongming Chen  
Orn Almarsson

## 2. Name and address of receiving party(ies)

Name: Transform Pharmaceuticals, Inc.

Internal Address: \_\_\_\_\_

Street Address: 29 Hartwell Avenue

City: Lexington State: MA Zip: 02421

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_

Execution Date: 2/13/03; 1/9/03; 1/14/03 respectively

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) 60/422,196

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John M. Lucas

Internal Address: \_\_\_\_\_

Street Address: 29 Hartwell Avenue

City: Lexington State: MA Zip: 02421

## 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit account number: \_\_\_\_\_

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FINANCE SECTION

## 9. Signature.

John M. Lucas  
Name of Person Signing

Signature

3/14/03  
Date

Total number of pages including cover sheet, attachments, and documents: 4

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

Attorney Docket No. T026.A/US

03/25/2003 DBYRNE 00000085 60422196

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Provisional Joint

ASSIGNMENT

WHEREAS, we, **Hua Tang, Hongming Chen and Örn Almarsson**, have invented a certain improvement in **2,6-Diisopropylphenol Pharmaceutical Compositions** described in a Provisional Patent Application, the specification of which:

[ ] is about to be filed in the United States Patent Office;

[X] was filed on **October 29, 2002** as Provisional Application No. **60/422,196**.

WHEREAS, **TransForm Pharmaceuticals, Inc.** (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the **State of Delaware**, and having a usual place of business at **29 Hartwell Avenue, Lexington, Massachusetts 02421** desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said Provisional application, together with the entire right, title and interest in and to said Provisional application and such Letters Patent as may issue on said invention; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of the entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of any nonprovisional applications including substitution, reissue, divisional or continuation applications and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

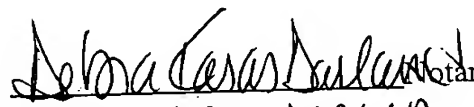
AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said invention to said ASSIGNEE, its successors, assigns, and legal representatives.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

Inventor:   
Hua Tang

State/Commonwealth  
of Massachusetts  
County of Middlesex

Then personally appeared before me the above-named **Hua Tang** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this 13<sup>th</sup> day of February, 2003.

 Notary Public  
NEBEA KARAS NARIMAN (print name)  
My Commission expires 3 / 15 / 07


(SEAL)


Inventor:   
**Hongming Chen**

State/Commonwealth  
of Massachusetts  
County of Middlesex

Then personally appeared before me the above-named **Hongming Chen** and acknowledged  
that he/she executed the foregoing instrument as his/her free act and deed this 9<sup>th</sup>  
day of January, 2003

(SEAL)


 Notary Public  
DEBRA J. KARAS (print name)  
My Commission expires 03 / 15 / 07

Inventor:   
**Örn Almarsson**

State/Commonwealth  
of Massachusetts  
County of Middlesex

Then personally appeared before me the above-named **Örn Almarsson** and acknowledged  
that he/she executed the foregoing instrument as his/her free act and deed this \_\_\_\_\_  
14<sup>th</sup> day of January, 2003

(SEAL)

 Notary Public  
DEBRA J. KARAS (print name)  
My Commission expires 03 / 15 / 07